CHECKSHEET FOR NEW OFF-LICENCE APPLICATION



Email to: sol@huttcity.govt.nz

Deliver to: Hutt City Council, 30 Laings Road, Lower Hutt

Post to: The Secretary, District Licensing Committee, Hutt City Council, Private Bag 31912, Lower Hutt 5040

Note: Unopposed applications may take up to 6 weeks to process.

The applicant must be the party that will be taking the money from the business eg, the name on the bank account. The application must be signed by one of the following:

- applicant if an individual or
- all members of the partnership or
- the principal director/shareholder of the company or
- applicant's solicitor or
- applicant's agent if a letter of authorisation signed by the applicant is received with the application.

HAVE YOU PROVIDED THE FOLLOWING?

	Completed application form.
	Scale plan outlining the proposed alcohol licensed area (clearly marked). Must include: principal entrance, designation (supervised or restricted – if applicable). (Note: if the premises is a supermarket or grocery store the plan must show the layout of the entire store, with the single alcohol area, and any sub-areas if applicable, clearly marked).
	Street map showing the premises location Identify any sensitive sites within 1km radius of the premises (eg, healthcare facilities, schools, kindergartens, religious sites, drug and alcohol rehabilitation centre etc)
	Photo or artist's impression of the outside of the premises.
	Certificate of Use for the purposes of the Sale and Supply of Alcohol Act 2012 - see general information page for details.
	Written statement signed by the owners of the building giving approval to sell and supply alcohol during the days and hours applied for (note: approval must be for the applicant named in the application form).
	A copy of the signed lease agreement and/or sale and purchase agreement.
	Certificate of Incorporation or partnership agreement (if applicable).
	A copy of the Temporary Authority (if applicable).
	A Social Responsibility Policy.
	A copy of each Manager's Certificate (note: not required if the Certificates were issued through the Lower Hutt District Licensing Committee).
	Details of staff training/copy of staff training plan.
	If the application is for a grocery store a verified statement of annual sales revenue by a Chartered Accountant as required by regulation 13 of the Sale and Supply of Alcohol Regulations 2013.
	Public notice forms (note: must be provided for checking before being advertised in the Hutt News OR on the Hutt City Council Website AND displayed onsite). The applicant will need to indicate on the form which option of advertising they wish to choose. The public notice forms will be returned via email to the applicant once details have been confirmed. If the applicant chooses to advertise in the Hutt News, full pages of the advertisement must be provided once published.
	Invoice for application fee (and public notice fee if advertising on the Hutt City Council website) will be provided upon receipt of completed application for immediate payment.
risk r fees	application fee is set by the Hutt City Council Alcohol Fees Bylaw, and the amount is determined using the premises' rating which is set under the Sale and Supply of Alcohol (Fees) Regulations 2013. Information about alcohol application is available on the Council's website, or if you have any questions they can be directed to the Environmental Health Officer on 04 570 6666 or email sol@huttcity.govt.nz.

GENERAL INFORMATION

CERTIFICATE OF USE

(Pursuant to Section 100(f) of the Sale and Supply of Alcohol Act 2012)

- You need to apply for a Certificate of Use (CoU) prior to applying for a new alcohol licence and may require a new CoU for renewals with variation that increases the licensed hours or area.
- This CoU confirms that the proposed use of the premises meets the requirements of the Resource Management Act 1991 and the building code.
- This CoU is managed by Hutt City Council's Resource Consents and Building teams.
- The application form for this certificate is available on the Hutt City Council website (apply for an alcohol licence page). If you have any questions about the CoU please contact the Resource Consents duty planner on 04 570 6781.

PUBLIC NOTICES

- After submitting a licence application (new or renewal) you must notify the public of your application in accordance with sections 101 and 127(3) of the Sale and Supply of Alcohol Act 2012, and Regulations 36, 37, 38 and 39 of the Sale and Supply of Alcohol Regulations 2013.
- You have the choice of advertising in the Hutt News or on the Hutt City Council Website, and you must also display a copy at the premises in a place that can be clearly seen by the public.
- Once the draft public notices forms provided in the application form have been checked by a Licensing Inspector for correctness they will be returned to the applicant (for publication in the Hutt News if that was your choice, and display onsite).

If you choose to advertise in the Hutt News:

- All new applications must be advertised by placing two consecutive advertisements one week apart in the Hutt News.
- Renewal applications for medium and high risk category premises must advertise by placing two
 consecutive advertisements one week apart in the Hutt News.
- Renewal applications for low or very low risk category premises only need to advertise once in the Hutt News.
- You must supply the District Licensing Committee (DLC) with the full page/s from the Hutt News containing the advertisements once published.

If you choose to advertise on the Hutt City Council Website:

- The invoice will be emailed to your nominated email address provided in the application form.
- Once payment has been received the public notice will be advertised on the Hutt City Council website.
- You will receive an email confirming the public notice, with a link to the publication page
 Alcohol licence public notices | Hutt City Council

The public notice must also be displayed onsite:

• Once the display copy of the public notice has been returned to you, you are required to display it in your premises in a place that can clearly be seen by the public.

IS YOUR BUSINESS A GROCERY STORE?

A grocery store is a kind of premises for which an off-licence may be issued. The Sale and Supply of Alcohol Act 2012 prevents dairies and convenience stores from holding off-licenses, so if you want to be able to sell (or be able to continue selling) alcohol, and are not large enough to be a supermarket, qualifying as a grocery store is critical.

In forming an opinion about whether any premises is a grocery store, the District Licensing Committee/ARLA must have regard to:

- the size, layout and appearance of the premises;
- the annual sales revenue (or projection annual sales revenue) of the premises;
- the number, range and kinds of items on sale (or expected to be on sale) on the premises.

Further information can be found at the <u>Sale & supply of alcohol | Ministry of Justice</u>.

All grocery store applications MUST include a statement of gross annual sales revenue signed by a Chartered Accountant. Here is the <u>Grocery store info pack and annual sales revenue statement</u> or you can request a copy by emailing <u>sol@huttcity.govt.nz</u>.

STAFF TRAINING

Are your staff trained in social responsibility? It is important that refresher training is taking place at regular intervals. It is also strongly recommended that training records are kept.

Free online 'ServeWise' training available from the Health Promotion Agency (HPA) at Alcohol Resources — ServeWise

EXAMPLE OF SOCIAL HOST RESPONSIBILITY POLICY

This should be used as a guide, and altered where appropriate, to suit the nature of your premises. It is important that policies are in an attractive, easy-to-read format.

Host responsibility house policy for an off-licence

The management and staff of (the premises) have a responsibility to provide an environment where alcohol is sold responsibly. Because of this, we have implemented the following Social Host Responsibility Policy.

We are committed to encouraging the responsible sale and supply of alcohol.

- It is against the law to serve anyone who is intoxicated. Intoxicated persons will be asked to leave the premises.
- It is against the law to serve alcohol to minors. If we are in doubt about your age, we will ask for identification.
- We operate an ID25 programme. If you look 25 years of age or under, you will be asked to produce one of the following acceptable forms of identification: current passport, NZ drivers' licence, Kiwi Access card, Hospitality NZ 18+ card.
- We cannot sell alcohol to an adult who intends to supply a minor unless they are their parent or legal guardian.
- We cannot sell alcohol to people in school uniform, regardless of proof of age.
- Alcohol will only be promoted and sold in a responsible manner.
- Our policy is zero tolerance for aggressive, coercive or violent behaviour. Customers behaving in a disorderly manner will be asked to leave the premises.
- We maintain a training and management policy to give our staff the skills and support they need to do their job responsibly.

We pride ourselves on be	ing socially responsible hosts.	
Signed		

ALCOHOL MANAGEMENT PLAN

This plan should include details about how you will put each part of your host responsibility policy into practice. It must include the systems, staff and training that you will implement to ensure that your business complies with the requirements of the Sale and Supply of Alcohol Act 2012. Your plan should include information about:

- How you will ensure intoxication is controlled. Including location of a place of safety, incident logbooks etc
- The steps you will take to ensure minors are not sold alcohol
- A duty manager register and incident logbook
- The staff you will use and their level of training (so they comply with the requirements of the Act?)
- Your policies concerning promotions of alcohol
- The steps you will take to prevent or reduce noise, nuisance, vandalism, and other harm being caused by your customers
- Security

FEES – EFFECTIVE FROM 1 JANUARY 2022

Framework for determining cost/risk rating

Add the three weightings together to get a "cost/risk rating" score

Type of licensed premises	Weighting	+	Latest alcohol sales time	Weighting	+	Number of enforcements in the last 18 months	Weighting
Liquor store, supermarket, grocery off-licence	15		On-licences and clubs before 2.01am; off- licences before 10.01pm	0		None	0
Night clubs, taverns, adult premises, "Class 1" restaurants	15		On-licences and clubs 2.01am to 3.01am; off- licences 10.01pm and later	3		1	10
Off-licences in a tavern	10		On-licences and clubs – all other closing times	5		2	20
Hotels, function centres, "Class 1" clubs, "Class 2" restaurants, universities and polytechnics	10						
Remote sales, "Class 2" clubs, "Class 3" restaurants, other	5						
Theatres/cinemas, wine cellar doors, BYO restaurants, "Class 3" clubs	2						

Enforcement – has the same meaning as a "Holding" under section 288 of the Act.

Fee category, rating and corresponding amounts

Fee category and cost/ risk rating score		Application fee (inclusive of GST)	Annual fee (inclusive of GST)
Very low	0-2	\$699.20	\$305.90
Low	3-5	\$1,158.05	\$742.90
Medium	6-15	\$1,551.35	\$1,201.75
High	16-25	\$1,944.65	\$1,966.50
Very high	26 plus	\$2,294.25	\$2,731.25

NOTE: For new applications, an annual fee will be payable on approval of your licence, before the licence is issued.

APPLICATION FOR NEW OFF-LICENCE



Section 100, Sale and Supply of Alcohol Act 2012

1. Endorsements

(a)	Type of endorsement sought on the licence if applicable				
	Remote sales ONLY eg, online or catalogue sales				
	Auctioneer				
2.	Details of applicant				
(a)	Full name of applicant (legal name(s) of the person(s) or compeg, ABC Limited etc)	pany who will receive any p	proceeds from alcohol sales		
(b)	Applicant status				
	Individual Public company	Licensing trust			
	Private company Club	Government Department of	or other instrument of the Crown		
	Partnership Trustee	Manager under Protection	of Personal and Property Rights Act 1988		
	Body corporate Local Authority	Board, organisation, or oth	ner body		
(c)	Applicant's Address				
(d)	Postal address for service of documents				
(e)	Applicant's email address		Applicant's contact number		
(f)	(f) State all criminal convictions (except convictions for offences to which the Criminal Records (Clean Slate) Act 2004 applies). Please state type and date of each conviction.				
	I authorise New Zealand Police to disclose any personal information it considers relevant to my application to the Medical Officer of Health and/or the Licensing Inspector for the purpose of assessing my suitability.				
OFFIC	CE USE ONLY				
Licenc	e number:	Checked by:			
Fee:		Vetted on:			
Licenc	ee type:	Date received:			
Weigh	ting://				

(g)	Occupation (If the applicant is an individual)
(h)	Gender (If the applicant is an individual) Male Gender Gender diverse / gender non-binary:
(i)	Date of birth (If the applicant is an individual)
3.	Contact details for application
(a)	Contact person for this application
(b)	Phone number(s)
(c)	Email address
4.	Further details where the applicant is a company or an incorporated society
(a)	Where the applicant is a private company give full details of each person who is a director and/or holds any shares issued by the company as follows: name, address, date of birth, designation, % of shares held (please continue on a separate sheet if necessary).
(b)	Where the applicant is a public company give full details of each person who is a director and/or holds 20 percent of more of the shares, or of any particular class of shares, issued by the company as follows: name, address, date of birth, designation (please continue on a separate sheet if necessary).
5.	Further details where the applicant is a partnership
(a)	Full details of each partner as follows: name, address, date of birth, place of birth.
	Signature of each partner
6.	Body corporate
(a)	Please state the authority under which it is incorporated.

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7. Details of premises

(b)	Trading address
(c)	Trading name for the premises or proposed trading name
(d)	Type of premises eg, grocery store, bottle store, hotel
(e)	Is the licence being applied for conditional upon the premises being constructed or altered?
	☐ Yes ☐ No
	If yes – describe the changes you are making, and what consents you have:
(0)	
(f)	What date do you intend to open?
(g)	Are you currently trading on a temporary authority?
	Yes No
	If yes – please advise:
	Temporary Authority number
l	Temporary Authority expiry date
	Previous owner's base Licence number that the Temporary Authority relates to
	· · · · · · · · · · · · · · · · · · ·
(h)	Name of the overall manager of the premises
(11)	Name of the overall manager of the premises
/i)	Does the applicant own the premises?
(i)	
	☐ Yes ☐ No
	If no – provide the following information and consent form signed by the building owner (refer attached template):
	(i) Full name, address, email, and phone number of the owner.
	(ii) What form of tenure and term of tenure will the applicant have?

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	Tick the box if the premises will have (tick any that apply): The	ils refers to who can be present in a certain area
	A supervised area (under 18's must be with parent or	legal guardian eg, bottle store)
	A restricted area (no under 18's)	
	No designated areas (all ages can be in the licensed	area eg, supermarkets, grocery stores, etc)
	Supervised and restricted areas must be shown clearly	y on your scale plan of the premises.
8.	Business details	
(a)	Is the sale of alcohol intended to be the principal purpose of	the business?
	Yes No	
	If no, what is intended to be the principal purpose of the busi	ness?
(b)	Is the premises a grocery store?	
	Yes No	
	If yes, include a statement of projected annual sales revenue Alcohol Regulations 2013. To request an annual sales revenue.	e that complies with regulation 12 or 13 of the Sale and Supply of the template, email sol@huttcity.govt.nz.
(c)	Days and hours when alcohol is to be sold or supplied:	
9.	Details of management	
	Details of managers	
(a)	Manager(s) details	
(a)		
(a)	Manager(s) details	Expiry date
(a)	Manager(s) details Full name	Expiry date
(a)	Manager(s) details Full name	Expiry date
(a)	Manager(s) details Full name Manager certificate number Full name	
(a)	Manager(s) details Full name Manager certificate number	Expiry date Expiry date
(a)	Manager(s) details Full name Manager certificate number Full name	
(a)	Manager(s) details Full name Manager certificate number Full name Manager certificate number Full name	Expiry date
(a)	Manager(s) details Full name Manager certificate number Full name Manager certificate number	

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10. Conditions

(a)	Does the applicant have any prior experience in hospitality industry? Please provide details.
(b)	List the name of the premises that the applicant, directors and/or shareholders currently owns or has owned in the past? Provide details ie, company name, name of the premises, location, duration of ownership etc.
(c)	Have any of these premises ever received any enforcement actions against them while they are/were under the applicant's ownership? If yes, please provide details.
(d)	What actions does the applicant propose to take to make sure minors (people under 18 years) and intoxicated people are not supplied alcohol?
(e)	What other actions does the applicant propose to promote the responsible consumption of alcohol?
(f)	What other systems (including training) and staff are, or will be in place, for compliance with the Act? (describe)

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Dated at	this	day of	20
Applicant signature		Print name	
Applicant signature		Print name	

Notes:

- 1. Within 20 working days after filing, the applicant is required to give public notice of the application in the Hutt News or on the Hutt City Council Website. It is up to the applicant to decide on which option is preferable. The notice will be returned to the applicant to have published in the Hutt News after checking the draft should this be the form of advertisement chosen.
- The applicant must attach a notice on, or adjacent to, the site in a place that can be seen easily by members of the public for a minimum of 10 days after publication in a newspaper or on website. The notice will be returned to the applicant for display after checking the draft.

Privacy statement

The information in your application and any supporting information will be held by Hutt City Council for processing your application under the Sale and Supply of Alcohol Act 2012. Information about this application will be made available to the public on request.

The information will also be provided to the:

- Lower Hutt District Licensing Committee,
- Police,
- Alcohol Regulatory and Licensing Authority,
- Council's Licensing Inspectors, and
- Medical Officer of Health.

This information may form part of a public hearing of your application before the Lower Hutt District Licensing Committee and may be used in the Committee's decision for your application.

You have the right to ask for a copy of any personal information we hold about you, and to ask for it to be corrected if you think it is wrong. If you'd like to ask for a copy of your information, or have it corrected, please contact us at sol@huttcity.govt.nz or come in and see us at 30 Laings Road, Lower Hutt 5010. For more information see our privacy statement.

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PUBLIC NOTICE – FOR NEWSPAPER OR HUTT CITY COUNCIL WEBSITE



SECTION 101 SALE AND SUPPLY OF ALCOHOL ACT 2012
Address and full legal name(s) of the person(s) or company who will receive any proceeds from alcohol sales
has applied to the District Licensing Committee in Lower Hutt for the issue of an off-licence for the premises situated at (Full address of premises)
and known as (Trading name of premises)
The general nature of the business conducted (or to be conducted) under the licence is (eg, bottlestore, supermarket, tavern)
The days on which and the hours during which alcohol is (or is intended to be) sold under the licence are
The application may be inspected during office hours at the Hutt City Council offices, 30 Laings Road, Lower Hutt. Please call the licensing inspectorate on 04 570 6666 to arrange an appointment.
Any person who is entitled to object and who wishes to object to the issue of the licence may, not later than 25 working days after the date of the first publication of notice of the application in accordance with the Act, file a notice in writing of the objection with the Secretary of the District Licensing Committee at Private Bag 31912, Lower Hutt 5040.
No objection to the issue of a licence may be made in relation to a matter other than a matter specified in section 105(1) of the Sale and Supply of Alcohol Act 2012.
A copy of your objection in its entirety will be provided to the applicant, Police, Licensing Inspector and Medical Officer of Health.
This is the \square first \square second publication of this notice. The first was made on:
Advertising options
I wish to advertise my public notice on the Hutt City Council website (Fees applicable - please refer to fees schedule); or
I wish to advertise my public notice in the Hutt News (applicant's responsibility to arrange)
This notice must be completed and attached for checking by the secretary to the District Licensing Committee before being published. It is your responsibility to book the newspaper publication.
OFFICE USE ONLY
Checked by: Publish once twice

FIRE EVACUATION STATEMENT



This statement must be accompanied with all new or renewal applications for on-licence (including BYO licences), off-licence, and club licences in accordance with section 100 and 127 of the Sale and Supply of Alcohol Act 2012

Premises name			
Premises address			
	Post code		
Applicant's name	Phone number		
Email			
Fire Evacuation Scheme			
Most commonly a building requires an evacuation scheme because The gathering together, for any purpose of 100 or more per			
Providing employment facilities for 10 or more persons:			
 Providing accommodation for more than 5 persons (other 	r than in 3 or fewer household units):		
 Storing or processing hazardous substances in quantities exceeding the minimum amounts prescribed in Schedule 3 of the Fire and Emergency New Zealand (Fire Safety, Evacuation Procedures, and Evacuation Schemes) Regulations 2018. 			
See Fire and Emergency New Zealand Act 2017 section 75 a	and 76 for further information.		
If you are unsure that the building has or requires an approved evacuation scheme, check with the building owner . For the requirements of an evacuation scheme or to apply for an evacuation scheme, refer to Fire and Emergency New Zealand web site: www.fireandemergency.nz			
I HEREBY STATE THAT – (Tick one)			
the owner of the building in which the premises are situated provides and maintains an evacuation scheme as required by section 76 of the Fire and Emergency New Zealand Act 2017; OR			
because of the building's current use, its owner is not required <i>OR</i>	to provide and maintain such a scheme;		
because of the nature of the building, its owner is exempt from such a scheme.	the requirement to provide and maintain		
Note : If an approved evacuation scheme is not required, the building must of the Fire and Emergency New Zealand (Fire Safety, Evacuation Procedu 2018 – this does not require approval by Fire and Emergency New Zealand	res, and Evacuation Schemes) Regulations		
Applicant signature	Date		

BUILDING OR CONVEYANCE OWNER'S CONSENT – TEMPLATE

To The Secretary
District Licensing Committee
Hutt City Council
30 Laings Road
Private Bag 31912
Lower Hutt 5040

Lower Hatt 5040	
Person giving consent	
Name	Date
Company (if applicable)	
Address	
-	
-	
-	
Dear Secretary	
I am the owner	Body Corporate Chair building manager other*
of	
(Name of address of building	or conveyance)
I confirm that I	
consent to	am authorised by the owners to consent to
the proposed sale and su	pply of alcohol by
(Applicant name – must mate	ch application)
on the following days and	hours
3 ,	
(Days and hours must match	application)
The following extra condit	tions apply to this consent (write none if none applicable)
Yours faithfully	
•	
(Name of person giving cons	ent)

⁽Signature)

^{*}If none of these options apply, describe the letter writer's role and why they are authorised to give consent on behalf of the building or conveyance owner.

CPTED CHECKLIST FOR OFF-LICENSED PREMISES



WINDOWS	Yes	No	N/A
There is at least 50% transparency in the front of the premises			
There is good visibility to and from the premises and the street			
LIGHTING	Yes	No	N/A
Internal lighting inside the premises is suitable			
Lighting allows customers to be seen as they enter the premises			
Lighting allows staff to check IDs etc			
Lighting outside the premises is suitable			
Lighting outside the premises discourages loitering			
Car parks and loading bays are well lit			
Street lighting is outside the premises and is working properly			
INTERNAL LAYOUT	Yes	No	N/A
The cash register is positioned near the main entrance			
The cash register area is raised to improved visibility			
Safe is out of public view			
No stock displays are greater than 1.3 metres			
The entire premises can be seen by the cashier			
There is good visibility into cold stores			
Where there may be blind spots, mirrors or CCTV are installed			
SECURITY	Yes	No	N/A
Doors and windows are reinforced			
Nothing encourages loitering outside the premises (eg, notice boards etc)			
There are recessed entrances to the premises			
Intruder alarm is installed			
Alarm is monitored by monitoring centre			
Panic buttons are linked to intruder alarm			
0.071/			
CCTV	Yes	No	N/A
CCTV is installed			
CCTV is positioned to monitor vulnerable areas			

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CCTV (continued)		No	N/A
Customers are aware of the CCTV system			
Staff understand its operation			
CTAFF			
STAFF	Yes	No	N/A
There are sufficient numbers of staff to ensure control of the premises			
Two or more workers are on duty after dark			
Staff are visible to customers upon entering the store			
Staff greet/acknowledge customers entering the store			
A door buzzer notifies staff of customers entering the store			

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